

1 *Sources and contamination routes of microbial pathogens to fresh produce*
2 *during field cultivation: a review*

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5 Oluwadara Oluwaseun Alegbeleye¹, Ian Singleton², Anderson S. Sant’Ana¹

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7 ¹Department of Food Science, Faculty of Food Engineering, University of Campinas,
8 Campinas, São Paulo – Brazil.

9 ²School of Applied Sciences, Sighthill Campus, Edinburgh Napier University, Edinburgh - UK.

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24 *Corresponding author: Prof. A.S. Sant’Ana: and@unicamp.br Address: Rua Monteiro Lobato,
25 80. CEP: 13083-862, Campinas, SP – Brazil

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27 **Abstract**

28 Foodborne illness resulting from the consumption of contaminated fresh produce is a common
29 phenomenon and has severe effects on human health together with severe economic and social
30 impacts. The implications of foodborne diseases associated with fresh produce have urged
31 research into the numerous ways and mechanisms through which pathogens may gain access to
32 produce, thereby compromising microbiological safety. This review provides a background on
33 the various sources and pathways through which pathogenic bacteria contaminate fresh
34 produce; the survival and proliferation of pathogens on fresh produce while growing and
35 potential methods to reduce microbial contamination before harvest. Some of the established
36 bacterial contamination sources include contaminated manure, irrigation water, soil, livestock/
37 wildlife, and numerous factors influence the incidence, fate, transport, survival and proliferation
38 of pathogens in the wide variety of sources where they are found. Once pathogenic bacteria
39 have been introduced into the growing environment, they can colonize and persist on fresh
40 produce using a variety of mechanisms. Overall, microbiological hazards are significant;
41 therefore, ways to reduce sources of contamination and a deeper understanding of pathogen
42 survival and growth on fresh produce in the field are required to reduce risk to human health
43 and the associated economic consequences.

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47 **Keywords:** On-farm food safety, soil, irrigation water, manure, foodborne pathogens, fruits
48 and vegetables.

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52 **1. Introduction**

53 Foodborne diseases are rife in many regions of the world, with at least 1 in 10 people
54 falling ill yearly from consumption of contaminated food and 420, 000 deaths occurring as a
55 result, according to the World Health Organisation (WHO) (2015). Foodborne diseases have
56 exerted pressure on medical services, contributed to economic and political distress,
57 exacerbated malnutrition and led to human suffering. There are several agents such as
58 chemicals, pathogens, and parasites, which may adulterate food at different points in the food
59 production and preparation process (Allos et al., 2004). Many of these agents have been
60 extensively characterized and investigated by numerous studies (Farber & Peterkin, 1991; Zhao
61 et al., 2001; Le Loir et al., 2003; Ehling-Schulz et al., 2004; Adzitey et al., 2013; Botana, 2014).
62 Strategies and protocols to prevent occurrence (and outbreak) of foodborne diseases have been
63 devised and implemented by many researchers, regulatory bodies, and governments. However,
64 despite the considerable progress achieved scientifically, foodborne diseases continue to occur,
65 representing a significant cause of morbidity and mortality globally (Mead et al., 1999; Murray
66 et al., 2013). Although foodborne diseases are more common in developing countries
67 particularly in Africa and South East Asia with specific groups of people such as children, the
68 immunocompromised, pregnant and aged being particularly at risk, foodborne diseases are not
69 limited to these regions or groups of people (WHO, 2007). For instance, according to the
70 Centres for Disease Control and Prevention (CDC), between 2001 and 2009, there were 38.4
71 million episodes of domestically acquired foodborne gastroenteritis caused by unspecified
72 agents in the United States alone (CDC, 2009). Approximately 17.8 million acute gastroenteritis
73 occurred, and there were at least 473,832 hospitalizations in the US each year and 215 779
74 hospitalizations caused by the 24 known gastroenteritis pathogens. An estimated 5 072 persons
75 died of acute gastroenteritis each year, of which 1 498 deaths were caused by the 24 known

76 foodborne pathogens (Scallan et al., 2011). Health Canada (2011) estimates that 11-13 million
77 cases of foodborne illnesses occur in Canada every year.

78 Although the conventional notion is that foodborne diseases typically originate from meat
79 and poultry products, vegetables and fruits have been implicated in various foodborne outbreaks
80 (Westrell et al., 2009; Lynch et al., 2009; [European Food Safety Authority (EFSA), 2013]. A
81 significant increase in foodborne disease outbreaks or cases associated with consumption of
82 fresh produce has been reported. This increase has been largely due to a general increase in
83 produce consumption, globalization of the produce industry and more effective surveillance
84 (Tauxe et al., 1997; Lederberg et al., 2003; Havelaar et al., 2010). Increased consumption of
85 fresh produce is likely due to global government efforts to promote healthy eating, the
86 associated health-promoting benefits of consuming fresh produce and ease of access to fresh
87 local produce (Pollack 2001; Regmi, 2001; Berger et al., 2010; Painter, 2013). Since fresh
88 produce is mostly eaten raw or after minimal processing, pathogen contamination constitutes a
89 potential health risk (Callejón et al., 2015; Li et al., 2017). There are numerous factors capable
90 of compromising the microbiological integrity of produce along the farm to fork continuum, all
91 of which have potentially fatal outcomes. However, pre-harvest hazards to produce have been
92 recognized as important because usually, once pathogen contamination is established in the
93 field, it can be challenging to decontaminate produce. There are numerous circumstances that
94 can undermine the safety of produce on farms. Many of these arise because agriculture has
95 grown more intensive over the years, and produce fields are often located near animal
96 production zones thus entwining the ecological connections between wild animals, livestock
97 and produce (Strawn et al., 2013 a, b). This, in many cases, predisposes fruits and vegetables
98 to pre-harvest hazards. Some important pre-harvest hazard sources to produce include the use
99 of contaminated soil, irrigation water and manure for produce cultivation. Wild animals and
100 insects have also been implicated as vehicles of pathogens to produce.

101 To ensure produce safety on a sustainable scale, it is imperative to correctly understand
102 the routes of entry, fate, transport, establishment, and survival of pathogens in the agricultural
103 environment such as soil, irrigation water and manure. The knowledge gap in this regard is
104 being filled rapidly, as many studies have attempted to explain the behavior of foodborne
105 pathogens in agricultural media and describe the associations among pathogens, produce and
106 the agrarian environment. In this review, the extent of the produce contamination problem is
107 discussed as well as the sources and routes of contamination of soil, irrigation water, fruits, and
108 vegetables. Also, the various mechanisms and strategies through which bacterial pathogens
109 become established on fruits and vegetables are briefly examined.

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111 2. *Overview of outbreaks associated with fresh produce*

112 The nutritional and health benefits of consuming fruits and vegetables have been
113 recognized and widely publicized. This has elicited changes in human dietary habits, with many
114 consumers incorporating more fruits and vegetables into their meals. Consequently, the global
115 production of fruits and vegetables has surged exponentially in recent decades. The increased
116 demand for produce has led to modifications such as increased use of soil amendments,
117 utilization of alternative water sources and increased imports and exports in agriculture-
118 spanning across agronomic practices, processing, preservation, packaging, distribution, and
119 marketing (Beuchat, 2002). Some of these modifications, however, have great potential to
120 compromise the safety of fruits and vegetables. The biological hazards that are most relevant
121 to fresh produce safety are either zoonotic or human in origin and can be classified into spore-
122 forming bacteria, non-spore forming bacteria, viruses, parasites and prions (James, 2006). Most
123 studies/surveillance efforts have identified bacterial contaminants in produce-borne illness
124 outbreaks. There is, therefore, a disproportionately higher abundance of information regarding
125 bacterial contamination in the literature. This may be because bacterial species have in fact

126 caused many more outbreaks, but other microbial groups- viruses and parasites have been
127 understudied. The most commonly implicated etiologic agents are presented in Table 1.
128 Although data and information available on outbreaks associated with fresh produce are diverse
129 and patchy, the available research evidence indicates that the foodborne illness burden due to
130 contaminated produce has increased, in recent decades. In the United States, between 1996 and
131 2010, approximately 23% of total foodborne illness outbreaks were produce related (Jung et
132 al., 2014). In Europe, from 2007 to 2011, produce was linked with 10% of the outbreaks, 35%
133 of the hospitalizations and 46% of the deaths (EFSA, 2017). In Australia, fresh produce was
134 linked to 4% of all foodborne disease outbreaks informed from 2001 to 2005 (Lynch et al.,
135 2009). Specific produce items are more commonly linked to foodborne illness incidents; for
136 example, leafy greens such as lettuce and spinach, as well as fresh herbs such as parsley and
137 basil are conventional sources of bacterial infections (WHO, 2008; Berger et al., 2010; Denis
138 et al., 2016). Berries, green onions, melons, sprouted seeds, and tomatoes are similarly high-
139 level priority produce items (Olaimat & Holley, 2012; Denis et al., 2016). In the US, between
140 2006 and 2014, 16 of 68 multistate foodborne outbreaks were associated with vegetables (CDC,
141 2014). A list of recent produce-related outbreaks is presented in Table 2.

142 Most industrialized nations especially the United States have extensive and exhaustive
143 datasets indicating the magnitude of outbreaks, the extent of severity and casualties incurred,
144 the implicated pathogen and produce item as well as documented preventive protocols to avoid
145 future outbreaks. Unfortunately, however, the same is not true of many other countries
146 especially African Countries, the majority of which are still grappling with other challenges and
147 hence, lack the resources to efficiently track and trace foodborne illness incidents (WHO, 2000).

148 Many conventional foodborne detection methods are time consuming and laborious, and
149 advanced techniques have therefore been developed and optimized as alternatives to or for use
150 in combination with these traditional techniques. Many of these are rapid, sensitive, reliable

151 and standardized. They can be categorized into nucleic acid based, biosensor-based and
152 immunological based methods (Crocì et al., 2008; Adzitey et al., 2013; Law et al., 2014).
153 Typical examples include simple polymerase chain reaction (PCR), multiplex PCR, real-time
154 PCR, nucleic acid sequence-based amplification (NASBA), loop-mediated isothermal
155 amplification (LAMP) and oligonucleotide DNA microarray. Other examples are optical,
156 electrochemical and mass-based biosensors, and enzyme-linked immunosorbent assay (ELISA)
157 and lateral flow immunoassay (Law et al., 2014; Gilchrist et al., 2015). These advances in
158 epidemiological investigation approaches and techniques have made it possible to explore the
159 crucial associations between produce and pathogens. In spite of this, however, prompt
160 identification of implicated produce vehicles, location or point of contamination in fresh
161 produce associated outbreaks is still a significant challenge. One prime constraint is the
162 relatively short shelf life of fresh produce, which is often discarded by the time an outbreak is
163 identified (Strausbaugh and Herwaldt, 2000; Lynch et al., 2009). Therefore, most of the time,
164 the real source of contamination is not ascertained causing investigators to speculate or assume
165 a source. This is, however, dangerous because, in addition to the possibility of being wrong,
166 there is empirical evidence that once a particular transmission pathway is identified, repeated
167 investigations are bound to be biased in causation (Lynch et al., 2009). Another important
168 consideration is that usually, outbreaks receive widespread attention if the event (i) has severe
169 public health impacts (ii) is unusual or sudden (in that the etiological agent and/produce type
170 are unanticipated; making the circumstances of the outbreak unique and (iii) poses a significant
171 risk of international spread with consequences for international travel or trade. Invariably, the
172 smaller, 'less significant' outbreaks are never investigated. More importantly, foodborne illness
173 incidents occur sporadically in populations, and these cannot be captured in routine
174 epidemiological surveillance or outbreak investigations (Scallan et al., 2011). This means that

175 the data available **may not** be a valid representation of the problem. It is likely that the foodborne
176 illness burden related to consumption of contaminated produce **is still** largely underestimated.

177 **3. *Sources and Routes of Produce Contamination***

178 The possible routes and sources of produce contamination are numerous, and intensive
179 efforts have been made to accurately understand the exact mechanisms through which
180 pathogens are introduced into fresh produce (Kotzekidou, 2016). Sources and routes of produce
181 contamination vary for different production zones. This is because each farm has a distinct
182 combination of environmental risk factors such as topography, land-use interactions, and
183 climate. Combinations of these peculiar environmental risk factors influence the frequency and
184 transmission of foodborne pathogens and subsequently impact the risk of produce
185 contamination (Strawn et al., 2013 b). Primarily, pathogens may contaminate produce ‘on-field’
186 via various routes including; atmospheric deposition, uptake from contaminated soils and
187 groundwater (Harris et al. 2003; Lynch et al., 2009; Mei Soon et al., 2012), use of raw (or poorly
188 treated) manure and compost, exposure to contaminated water (irrigation or flooding), transfer
189 by insects, or by fecal contamination generated by livestock or wild animals (Cooley et al.,
190 2007; Uyttendaele et al., 2015). A schematic representation of the main entry points for
191 pathogens to humans via produce is provided in Figure 1.

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193 **3.1. *Introduction of pathogens into soil via manure/compost application***

194 The use of organic materials such as livestock excreta, slurries, abattoir wastes, sewage
195 sludge as well as municipal and industrial waste treatment residuals as soil amendments is
196 widespread (Avery et al., 2005; Goss et al., 2013). Although these serve as a cost-effective
197 source of nutrients for agricultural purposes, research demonstrates that raw manure as well as
198 contaminated (or improperly treated) manure constitute a significant risk of pathogenic
199 contamination for produce (James, 2006; Manyi-Loh et al., 2016). Public health relevant

200 bacteria, viruses and parasites such as *E. coli* O157:H7, *Salmonella* spp., *L. monocytogenes*,
201 *Campylobacter* spp., porcine enteroviruses, bovine coronavirus, bovine virus diarrhoea
202 *Cryptosporidium parvum* and *Giardia* have been isolated from raw/poorly treated manure
203 (Derbyshire, 1973; Derbyshire & Brown, 1978; Sellers, 1981; Strauch 1991; Pell, 1997; Grewal
204 et al., 2006). Pathogens may be spread through direct interaction of vegetable surfaces with
205 manure, or by splashing of (contaminated) soil/manure particles from the soil on vegetables via
206 rainfall and/overhead irrigation or by vectors. Additionally, manure piles stored next to growing
207 areas may constitute contamination risk due to run-off (James, 2006; Warriner et al., 2009).

208 Manure application could be by broadcasting as a solid, semi-solid or liquid throughout
209 the field or by the introduction of livestock or wildlife feces at distinct locations (Jung et al.,
210 2014). In many parts of the world, organic cultivation systems use more manure than
211 conventional growers, and chemical treatment against pathogens is prohibited in organic
212 farming. There have thus been some assertions that organic produce represents a more
213 significant safety risk than its non-organic counterpart, although, there is no unequivocal
214 research evidence supporting this claim (Johannessen et al., 2005; Loncarevic et al., 2005;
215 Warriner et al., 2009; Ivey, 2011; Maffei et al., 2016).

216 The survival of pathogens in manure and biosolids depends on factors such as the manure
217 source, production process, and characteristics, treatment technique applied, physicochemical
218 factors like pH and relative humidity, incidence of antagonists or predators, weather conditions,
219 desiccation, aeration, soil type, degree of manure incorporation, amongst others (Ingham et al.,
220 2004; Wood, 2013) (Table 3). The manure composition, which is determined in large part, by
221 the feed formulation, dictates the profile of pathogens occurring in manure as well as their
222 ability to persist even post-treatment (Franz et al., 2005). Certain workers have proposed that
223 cattle diet may influence the incidence of representative bacterial species; *E. coli* O157:H7 and
224 *Salmonella* in manure. These pathogens have been reported to persist longer in manure obtained

225 from cattle fed diets rich in energy but low in fiber content such as high digestible grass silage
226 and maize silage compared to animals that received diets with low energy and higher fiber
227 content such as straw (Franz & van Bruggen, 2008). It has also been suggested that feeding
228 cattle with hay may significantly reduce shedding of acid-resistant *E. coli* (Diez-Gonzalez et
229 al., 1998; Franz & van Bruggen, 2008). How effective these strategies are in reducing pathogen
230 load in (animal-derived) manure, is however not clear.

231 Manure treatment techniques such as composting, aerobic and anaerobic digestion,
232 pelleting, alkaline stabilization, conditioning, dewatering and heat drying have been used to
233 treat manure before application as fertilizer for a long time. While many of them are reasonably
234 efficient, concerns have been raised about their ability to satisfactorily eliminate pathogenic
235 bacteria (Day & Funk, 2002; Lu et al., 2012; Lorin et al., 2016). Tailing of pathogen inactivation
236 curves, as well as apparent regrowth or recontamination of bacteria after treatment, have been
237 reported. Many pathogens have been shown to be capable of withstanding manure treatment
238 processes, thereby, constituting a major risk of contamination (Brackett, 1999). Composting is
239 a popular manure treatment and composting temperatures that exceed 55°C for three days are
240 considered sufficient to kill most pathogens (Grewal et al., 2006). However, few studies have
241 demonstrated that the heat-induced death of bacteria in composted materials is a complex
242 phenomenon (Ingham et al., 2004; Gupta, 2012). Bacterial regrowth and recontamination in
243 cooled compost have been reported (Hassen et al., 2001; Ingham et al., 2004). Pelletizing is
244 another common treatment available and is commonly applied to chicken manure (chicken
245 manure pellets). Pelletizing the manure reduces the off-odor and facilitates transport and
246 storage. Although the process usually involves a thermal procedure, more studies are required
247 to validate whether the process efficiently inactivates clinically relevant pathogens (Chen &
248 Jiang, 2014; Jung et al., 2014). The use of a fish emulsion as fertilizer has raised similar

249 concerns; although most preparation methods available include a thermal process, the ability of
250 this to inactivate enteric bacteria and viruses needs to be rigorously validated (Jung et al., 2014).

251 Due to the diverse range of variables associated with manure composition, treatment, pre-
252 application storage, application and incorporation, regulatory bodies have stipulated minimum
253 manure-to-harvest time intervals necessary to ensure microbiological safety. The United States
254 Department of Agriculture (USDA) ‘Organic production and handling’ specifies that unless
255 composted, raw animal manure must be incorporated into the soil not less than 120 days prior
256 to harvest of a product whose edible portion has direct contact with the soil surface or soil
257 particles, or 90 days if there is no direct contact (USDA, 2015). Canadian authorities specify 3,
258 15 and 12 months for tree fruits and grapes, small fruits and vegetables respectively as the
259 minimum time delay between manure application and harvest for these crops (Olaimat &
260 Holley, 2012).

261

262 3.2. *Irrigation water*

263 Irrigation water has been identified as a potential source of produce contamination
264 (Benjamin, 2013; Uyttendaele et al. 2015; Faour-Klingbeil et al. 2016). Being a common and
265 essential requirement for crop production, water must be supplied to plants when necessary,
266 and irrigation water sources are used to supplement limited rainfall in many areas (Kirby et al.
267 2003). Epidemiological investigations of food poisoning outbreaks, experimental studies
268 examining pathogen contamination of fruits and vegetables as well as observations of increased
269 incidence of disease in areas practicing wastewater irrigation with little or no wastewater
270 treatment indicate that contaminated irrigation water might indeed be a source of foodborne
271 pathogens on fresh produce (Norman & Kabler, 1953; Hernández et al., 1997; Steele &
272 Odumeru, 2004). For example, Hepatitis A outbreaks associated with lettuce (Seymour &

273 Appleton, 2001) and spring onions (Josefson, 2003) were linked to sewage-contaminated
274 irrigation water (Heaton and Jones, 2008).

275 Various factors including irrigation regime (method and timing of irrigation), irrigation
276 water sources, type of crop and land use practices in the farm influence the extent and frequency
277 of pathogenic contamination of produce (Figure 3) (Pereira et al. 2002; Pachepsky et al. 2011;
278 Olaimat & Holley 2012). Other factors such as pathogen concentration, pathogen strain,
279 weather patterns, plant state, and physiology also have significant implications for produce
280 safety (Marvasi et al., 2013; Uyttendaele et al., 2015; Decol et al., 2017) (Table 4).

281 ***3.2.1. Relationship between irrigation regime and contamination potential of produce***

282 There are several types of irrigation systems available, each of which is typically complex
283 and has its own drawbacks. Most irrigation systems create complicated ecological
284 environments with multiple potential sources and routes of pathogenic contamination
285 (Pachepsky et al., 2011). Each irrigation subsystem: collection, replenishment, storage,
286 conveyance, distribution off and on-farm, as well as on-farm application involve processes that
287 have great potential to compromise the microbiological integrity of the irrigation water in
288 unique ways. During transportation from the source to the field, water is susceptible to
289 significant microbiological depreciation (Pachepsky et al., 2011). The prevailing deterioration
290 dynamic will depend on the transportation mode. For instance, irrigation water transport via
291 irrigation ditches and canals involves interaction with microbial reservoirs of bottom sediments,
292 bank soils, algae and periphyton, whereas water transport via pipes involves interactions with
293 biofilms in the transport pipes (Jjemba et al., 2010; Pachepsky et al., 2014). This sort of
294 contamination is particularly prominent in reclaimed water distribution systems (Jjemba et al.,
295 2010; Weinrich et al., 2010). The method of storage for irrigation water can have a profound
296 effect on pathogen transmission. For example, certain studies have demonstrated that water
297 quality is rapidly degraded in storage ponds and tanks due to inputs from avian species or other

298 wildlife (Field & Samadpour, 2007; McLain & Williams, 2008; Higgins et al., 2009). Other
299 storage systems such as check dams, impoundments, inter-basin transfer schemes, abstraction
300 schemes and reservoirs have been identified as places where indicator and pathogenic
301 microorganisms can survive and proliferate (Abbasi, 2001; Kirubel, 2015). The mode of
302 application also has significant impacts on the risk of microbiological contamination (Berger et
303 al., 2010). Compared with furrow and subsurface drip irrigation systems, sprinkler irrigation
304 poses a higher risk of microbiological contamination (Kisluk & Yaron, 2012; Pachepsky et al.,
305 2014). Surface furrow and drip irrigation systems minimize contact between edible portions of
306 certain plants (leafy vegetables provide larger surface area for contact and possible microbial
307 attachment) and irrigation water (Directorate, 2002; Fonseca et al., 2011; Mei Soon et al., 2012;
308 Uyttendaele et al., 2015). Hydroponic growing systems also offer this advantage (Jung et al.,
309 2014; Allende & Monaghan, 2015).

310 The irrigation application method has been determined to influence the internalization of
311 some pathogens in produce such as spinach plants. According to some studies, the likelihood
312 of internalizing pathogens increases when the organisms are introduced by water sprinkling
313 systems as opposed to when the water is directly applied to the soil (Solomon et al., 2002; Stine
314 et al., 2005; Mitra et al., 2009; Warriner et al., 2009; Erickson et al., 2010a; Kisluk & Yaron,
315 2012; Zheng et al. 2013). More details on pathogen internalization are provided in section 4
316 (below). Depending on the geographical location, the irrigation regime with respect to time of
317 day, season and harvest time may influence the likelihood of pathogenic contamination. For
318 example, Kisluk & Yaron, (2012) in a study conducted in Haifa, Israel demonstrated that night-
319 time irrigation and irrigation during the winter season is more likely to contaminate plants with
320 enteric bacteria. Contaminated irrigation water poses the most significant risk when crops are
321 irrigated close to harvest time, because harvesting of produce containing viable pathogens is

322 more likely. Therefore, an adequate time interval between irrigation and harvest should be
323 conscientiously followed.

324 The microbial quality of irrigation water depends mostly on the source of the water. In
325 order of increasing risk of microbial contamination hazard, irrigation water sources can be
326 ranked as follows: potable or rainwater, deep groundwater, shallow groundwater, wells, surface
327 water and raw or inadequately treated wastewater (James, 2006; Leifert et al., 2008; Pachepsky
328 et al., 2011). The microbial quality of rainwater or rain-harvested water is relatively good. The
329 quality and safety of use, however, depends largely on the collection, transportation and storage
330 means. This can be illustrated with roof-harvested rainwater, which may become contaminated
331 with pathogenic bacteria and protozoan parasites because of the occurrence of animal droppings
332 on roofs, particularly immediately after relatively long periods of drought (Uyttendaele et al.,
333 2015). Groundwater (or borehole water) is usually microbiologically safe, except if it has been
334 contaminated with surface runoff or other sources of contamination close to the aquifer. Certain
335 farm operations such as intensive dairying and border-strip irrigation (a type of surface
336 irrigation, which is a hybrid of level basin and furrow irrigation) (Valipour et al., 2015) lead to
337 leaching of pathogens such as *E. coli* and *Campylobacter* to shallow groundwater, thereby
338 contaminating it (Close et al., 2008). Water from wells that are free from leaks and have sound
339 casing are expected to be microbiologically safe. Factors such as the design of wells, nature of
340 the substrate, depth to groundwater and rainfall may affect the microbial quality of good water
341 (James, 2006; Gerba, 2009). Surface waters; which are the predominant source of irrigation
342 waters in many countries, including open canals, ponds, lakes, rivers and streams are much
343 more susceptible to pathogenic contamination compared to groundwater (Allende &
344 Monaghan, 2015; Uyttendaele et al., 2015). Sewage discharges, septic tank contamination,
345 storm drains, wild and livestock defecation, run-off from contaminated fields, industrial and
346 municipal effluents can all potentially contaminate surface waters (Steele & Odumeru, 2004;

347 James, 2006). Wastewater is usually of poor chemical and microbiological quality. Therefore,
348 it requires extensive treatment before it can be safely used to irrigate crops. Water sources (other
349 than rain) used to irrigate produce is usually only minimally treated or untreated in **many cases**
350 **(Steele & Odumeru, 2004; Jung et al., 2014)**. It is expensive and time-consuming to treat
351 irrigation water up to drinking water standards, which is the ideal recommendation (Crook &
352 Surampalli, 1996; Forslund et al., 2010).

353 **3.2.2. Pathogen survival in irrigation water**

354 Although awareness of the potential dangers of using microbiologically compromised
355 water for irrigation has increased in recent times, scarcity of water resources in certain regions
356 has contributed enormously to the use of sub-optimal supplementary irrigation water sources.
357 **In such cases, irrigation water represents a greater microbiological risk to produce (Sundström,**
358 **et al., 2014)**. One of the most frequent pathogens implicated in water-related outbreaks is *E.*
359 *coli* O157:H7 (CDC, 1999; Hilborn et al., 1999). The organism can survive for a protracted
360 period in water (even in deionized water) depending on temperature conditions (Chalmers et
361 al., 2000; Islam et al., 2004a). It also exhibits a remarkable ability to withstand extreme
362 environmental conditions such as high acidity and extremely low-temperature conditions.

363 The ability of a pathogen to survive (or persist) in the environment (and on produce) is
364 an essential determinant in the risk of human infection. The actual risks associated with
365 pathogens occurring in irrigation water depend on numerous variables including environmental
366 conditions such as temperature, pH and UV light (Sant'Ana et al., 2014). Other factors such as
367 the excreted load of the pathogen, its latency period before it becomes infectious, its ability to
368 efficiently multiply outside a mammalian host, its infectious dose for humans, inhibitory
369 competition from the indigenous microflora as well as host response also play a relevant role
370 (Steele & Odumeru, 2004). Bacteria and viruses survive for lengthier periods in groundwater
371 compared to surface water because groundwater tends to be cooler, offers protection from

372 sunlight, and has less biological activity (Steele & Odumeru, 2004). These groups of microbes
373 only typically last no longer than 45 and 15 days in surface water and sewage, respectively.
374 Conversely, parasites (eggs/cysts) may survive for as long as 60 days or even several months
375 in surface water and wastewater (Lefler & Kott, 1974; Sagik et al., 1978; Bihn, 2011). This
376 suggests that pathogenic microorganisms are capable of surviving for extended periods, which
377 constitutes a profound threat to produce safety. Regardless of the source or route of exposure,
378 one potentially fatal consequence of pathogen contamination of irrigation water is the repeated
379 inoculation of plants with the pathogens. The fate and transport of these pathogens once
380 introduced into the produce vary widely (Table 4). Some pathogens are capable of adhesion to
381 surfaces of produce while some others can rapidly internalize into plant tissues under certain
382 conditions, translocate and persist until consumed (Warinner et al., 2003; Bernstein et al.,
383 2007a; Doyle & Erickson, 2008). This has rendered many conventional processing and
384 chemical sanitizing methods ineffectual (Hong & Moorman, 2005) and is a growing public
385 health concern.

386

387 ***3.2.3. Irrigation water and pathogens: a summary***

388 Although the potential for produce contamination via irrigation water has been identified,
389 it is difficult to estimate the magnitude of the problem (Groves et al., 2002; Antwi-Agyei et al.,
390 2015). Despite the fact that numerous studies have linked poor microbiological quality of
391 irrigation water with the incidence of human pathogens on fruits and vegetables, direct evidence
392 of irrigation water causing foodborne disease is relatively rare (Harris et al., 2012). This is
393 because a substantive “cause-effect” relationship is yet to be established as it is required that
394 the same pathogenic strain is isolated from the patient, produce, and irrigation sources
395 (Pachepsky et al., 2011). Furthermore, there must be a clear sequence of events connecting
396 patient, produce, and irrigation source (Steele & Odumeru, 2004). This is difficult to achieve

397 due to certain limitations such as an inability to promptly identify the locations associated with
398 produce contamination and delays inherent in foodborne outbreak investigations (Pachepsky et
399 al., 2011). In the absence of direct confirmation, the “cause-effect” relationship can only be
400 deduced based on circumstantial or subjective evidence (Pachepsky et al., 2011). Also, it is
401 apparent that there is no valid link between detected pathogen levels in irrigation waters and
402 disease risk. Some studies have demonstrated a lack of correlation between pathogen
403 prevalence in waters used for irrigation and disease incidence due to consumption of irrigated
404 produce (Cooley et al. 2007; McEgan et al., 2013; McEgan et al., 2014). There is an abundance
405 of laboratory studies elucidating potential mechanisms of produce contamination from
406 waterborne pathogens. However, field studies showing the exact process of produce
407 contamination via this medium are relatively scarce. It is thus expedient to generate more field
408 data in this regard.

409

410 3.3. *The soil environment as a natural habitat for (potential) bacterial pathogens*

411 Soils typically harbour an abundant consortium of microorganisms, some of which are
412 human pathogens such as *B. cereus*, *Clostridium botulinum*, *C. perfringens*, *Listeria*
413 *monocytogenes* and *Aeromonas* (Nicholson et al., 2005; Warriner et al., 2009; Jay, 2012). They
414 may, therefore, serve as a medium of plant contamination through seeds, roots or surfaces.
415 Many soil resident pathogens have adapted to survival in soil with spores persisting indefinitely.
416 However, since many agricultural soils are predisposed to point and non-point sources of
417 pathogenic contamination, allochthonous pathogens may continuously be introduced into soil
418 environments (Santamaria and Toranzos, 2003). Some of the primary sources of pathogens into
419 soil include the use of contaminated irrigation water and manure, animal grazing, municipal
420 solid wastes and other effluents (Santamaria and Toranzos, 2003; Sant'Ana et al., 2014).

421

422 **3.3.1. Effect of soil properties and environmental variables on the incidence of pathogens**
423 **in soils**

424 The fate, survival and recalcitrance of pathogens in soil depend on factors such as soil
425 type, soil moisture, pH, temperature, nutrient availability, agronomic practices, as well as soil
426 biological interactions (Table 5). Soil matric potential (moisture levels) is determined by soil
427 properties and water inputs through precipitation and/irrigation and has been demonstrated to
428 be one of the most critical factors influencing microbial transport and survival in soil (Leifert
429 et al., 2008). Cool, moist environments are favorable for the survival of bacteria and viruses.
430 Under dry soil conditions, a reduction in bacterial and viral population densities are usually
431 observed (Santamaria and Toranzos, 2003; Ghorbani et al., 2008). *Escherichia coli* survival has
432 been reported to be highest in organic soils under flooded conditions, and peak populations
433 recorded after a rise in the water-table accompanying significant rainfall events (Tate, 1978;
434 Hagedorn et al., 1978; Rochelle-Newall et al., 2016). Some pathogens such as *Streptococcus*
435 *faecalis* have been proven to thrive poorly under low soil moisture conditions (Kibbey et al.,
436 1978; Jamieson et al., 2002; Cabral, 2010). Increased rates of virus inactivation at low soil
437 moisture levels have been demonstrated (Yeager & O'Brien 1979). Also, decreased recovery
438 of viral (poliovirus type 1 and coxsackievirus B1) infectivity in dried soils was attributed to
439 evaporation of soil water in the same study by Yeager & O'Brien (1979). In addition,
440 experimentation by Hurst et al., (1978) correlated inactivation of enteroviruses [echovirus type
441 7 (strain Wallace), coxsackievirus B3 (strain Nancy) and poliovirus type 1 (strain LSc)] in
442 sludge-amended soils with moisture loss in the sludge piles.

443 Soil pH influences microbial diversity and the biogeochemical processes, which they
444 mediate (Fierer & Jackson, 2006; Nicol et al., 2008). Optimum pH for bacterial survival seems to
445 be neutral, but fungi are known to be more tolerant of acidic conditions, compared to bacteria
446 (Leahy & Colwell 1990). Amino acids (most viruses behave as proteins) have different pK

447 values and so the ratio of positive to negative charges on proteins vary with pH (Yates et al.,
448 1985). In an experiment that lasted 170 days using poliovirus type 1, echovirus 7, echovirus 9
449 and coxsackie B3, viruses were detected up till the 110th – 170th day at pH 7.5 while at pH 5.0,
450 the viruses died off between the 25th and 60th day depending on virus type (Bagdasaryan, 1964).

451 Soil types vary depending on organic matter content, water release characteristics,
452 particle size distribution and moisture retention capacity. These variations significantly
453 influence the survival of enteric pathogens in soil (Jamieson et al., 2002; Atkinson et al., 2010).
454 Clay soils support the adsorption of microorganisms onto soil particles, and this reduces
455 microbial die-off rates (Reddy et al., 1981). Clays protect bacterial cells, and possibly viral
456 particles, by creating a barrier against microbial predators and parasites (Santamaria &
457 Toranzos, 2003). Viruses, which are mostly large proteins possessing various charges, are
458 capable of forming numerous bonds with clay minerals (Stotzky 1986). For example, the
459 survival of *E. coli* is prolonged in clay soils where adsorption of cells to the soil particles
460 protects it against protozoa (Mosaddeghi et al., 2009). *Escherichia coli* can persist for up to 25
461 weeks in clay and loam soils, but for much less (8 weeks) in sandy soils (Lang and Smith,
462 2007). Results of a study that compared Rotavirus survival in three soil fractions (whole soil,
463 sand and clay) at temperatures 4, 25 and 37°C for 18 days showed least survival in sand fractions
464 (Davidson et al., 2013). In the absence of soil particles, Rotavirus survived best at 4 °C with
465 survival decreasing, with an increase in temperature, except in whole soil, where it survived
466 better over the entire temperature range and for more than a week at 37 °C, indicating that whole
467 soil offered some protective effect (Davidson et al., 2013). Conversely, though, there is a report
468 of shorter survival duration of enteroviruses (poliovirus type 1, echovirus 7, echovirus 9 and
469 coxsackie B3) in loamy soil than in sandy soil (Bagdasaryan, 1964).

470 A link between higher organic matter content and enteric pathogen persistence has been
471 established (Jamieson et al., 2005; Williamson et al., 2005; Leifert et al., 2008). There is

472 overwhelming research evidence in this regard, seeing that many of the studies that compared
473 the persistence of enteric pathogens in top and sub-soils recorded higher survival rates in topsoil
474 (Zhai, 1995; Wang et al., 2004; Nyberg et al., 2010). Research has also shown higher pathogen
475 levels in organic soils after manure application compared to sandy soils (Tate, 1978; Jamieson
476 et al., 2002). Therefore, the rates of pathogen survival are lower in sandy soils, which have a
477 low water-holding capacity (Mubiru et al., 2000; Erickson et al., 2014a).

478 Lower temperatures are more suitable for bacterial and viral survival. The ultraviolet
479 radiation from the sun inactivates viruses on the surface of the soil, but viruses in deeper soil
480 strata are protected from this (Rodríguez-Lázaro et al., 2012; Zablocki et al., 2016). In loamy
481 soil samples, at pH 7.5, poliovirus and echovirus were recovered after 110 – 130 days at 3 - 10
482 °C compared to recovery 40 – 90 days at 18 - 23 °C (Bagdasaryan, 1964). Similarly, Poliovirus
483 Type 1 and coxsackievirus B 1 pfu were recovered for up to 12 days at 37 °C whereas pfu were
484 recovered from soil for up to 180 days at 4 °C (temperature profiles tested were 4, 22 and 37
485 °C) (Yeager & O'Brien, 1979). The persistence of poliovirus in sludge-amended soil was
486 assessed in a field study where appropriately cultivated and irrigated plots were treated with
487 virus-spiked effluents by flooding. This was done for 123 days spanning through spring,
488 summer and winter seasons. Poliovirus survived best during winter (when it was detected after
489 96 days), but during summer, the longest survival period was 11 days (Tierney et al., 1977).
490 Parasites seem to prefer warm temperature conditions. Prevalence of hookworms have been
491 correlated to warm temperatures, relatively high rainfall and low clay content (sandy soils with
492 clay content of less than 15%) (Mabaso et al., 2003).

493 Nutrient availability is essential for the survival of microbes in the soil. The presence of
494 organic matter promotes the survival, and in many cases, the regrowth of enteric bacteria
495 (Jamieson et al., 2002; Looney et al., 2010). Organic matter improves nutrient retention, serves

496 as carbon sources for bacterial species and enhances moisture retention (Gerba et al., 1975;
497 Schoonover & Crim, 2015).

498 Apart from environmental stress responses, foreign enteric bacteria must compete with
499 the endogenous microflora to become established in the soil environment (Jiang et al., 2002).
500 Some autochthonous soil organisms have been shown to be resistant to newly introduced
501 microorganisms in their environment (Ellis and McCalla, 1976). Also, certain bacteriophage,
502 some protozoa, nematodes and free-living soil organisms such as *Bdellovibrio* can parasitize
503 non-indigenous pathogens, thereby limiting their survival (Klein & Cassida, 1967; Goss &
504 Richards, 2008). Additionally, increased pathogen survival, and regrowth in some instances, in
505 sterile soils and soils with relatively low biological activity has been reported (Gerba et al.,
506 1975; Tate, 1978). There is some research evidence that alien enteric pathogens compete poorly
507 for nutrients and are thereby susceptible to inhibition by soil-borne bacteria (Jiang et al., 2002).
508 The effects that this has on the persistence of pathogens (especially pathogens introduced via
509 contamination) in soil is however not yet fully understood. The impacts that soil edaphic and
510 biotic conditions have on the occurrence, fate and persistence of microorganisms in soils should
511 not be underestimated. These factors can collectively or independently stifle or encourage
512 foreign pathogens. For instance, members of *Listeria* possess advantageous intrinsic factors
513 such as an extensive repertoire of transport systems (like phosphotransferase system and
514 transcriptional regulators) which makes them capable of successfully persisting in the soil
515 ecosystem (Newell et al., 2010). However, these species are highly sensitive to extrinsic factors
516 and this affects their ability to survive in soil environments (Newell et al., 2010; Locatelli et al.,
517 2013). Although studies have been conducted on the occurrence of *L. monocytogenes* in various
518 ecological niches, including soil, more emphasis has been placed on the occurrence of *Listeria*
519 spp. in fresh vegetables under storage conditions, food processing and packaging environments.
520 The expression of genes and induction of proteins such as cold shock and cold acclimation

521 proteins, as well as tolerance for low pH and high salt concentration in these environments have
522 received much research attention. There is however, need for more research to understand the
523 dynamics of *Listeria* survival in soils.

524 ***3.3.2. Other factors affecting survival of pathogens in soil***

525 Agronomic practices such as soil improvement and manure application method influence
526 the survival of pathogens in the soil (Table 5). Soil improvement strategies (inorganic and
527 organic fertilizer, compost, biosolids and other residuals application), significantly enhance the
528 nutrient loads of soils (Diacono & Montemurro, 2010). In varying degrees, these are important
529 sources of primary nutrients such as N and P as well as secondary nutrients such as Ca, Mg and
530 S to the soil. A ready supply of essential nutrients encourages the growth of pathogens. Compost
531 application modifies the long-term soil conditions by increasing the pH steadily, this, therefore,
532 affects pathogen survival in soil (Weller, 1988; Sharma & Reynnells, 2016). Bacteria tend to
533 decline more rapidly when manure is applied superficially as opposed to when incorporated
534 into the soil immediately after application (Solomon et al., 2002; Islam et al., 2004a). This is
535 probably due to the elimination of drying conditions and exposure to UV at the soil surface
536 (Schulze-Makuch & Irwin, 2006) or because incorporation of manure disrupts macropores and
537 boosts soil-bacteria contact (Jamieson et al. 2002).

538 After manure application on land, if applied manure is contaminated, it is probable that
539 the pathogens will move through the soil matrix, either vertically or horizontally. Vertical
540 movement of pathogens through the soil is influenced by the amount and intensity of rainfall,
541 climatic conditions as well as the season of application. Horizontal movement is known to be
542 influenced by soil type, moisture levels, temperature, microbial activity, transport through plant
543 roots, rainfall patterns, soil pH amongst other biophysical factors. It is, however, apparent that
544 water flow is the most important dispersal factor for percolation of manure-derived pathogens
545 in soils, regardless of type and structure although more quantitative information regarding this

546 is desirable (Mawdsley et al., 1995; Jiang et al., 2002; Jamieson et al., 2002; Islam et al., 2004b;
547 You et al., 2006; Leifert et al., 2008; Semenov et al., 2009).

548 The extent of movement will affect the distribution and eventual fate of the pathogens.
549 Some will spread in soil and attach to roots. Others may be washed off to surface waters or
550 percolate to aquifers, potentially contaminating irrigation water sources (Figure 2) (Jamieson
551 et al. 2002; Vinten et al. 2002; Avery et al. 2004 a, b; Islam et al. 2004b). Pathogens occurring
552 in contaminated manure, therefore, can be rapidly transported within soil systems (Gagliardi
553 and Karns, 2000; Kisluk & Yaron 2012). The success of conveyance and distribution, however,
554 further depends on inherent survival capabilities of the pathogen as well as the presence and
555 structure of plant root systems (Figure 2) (Kemp et al., 1992; Mubiru et al., 2000; Avery et al.,
556 2004a; Franz et al., 2008; Arthurson et al., 2010).

557 There is some evidence that pathogens may indeed survive longer in manure-amended
558 soils than actual manure samples, and this has been illustrated for enteric species such as *S.*
559 *Typhimurium* and *E. coli* O157:H7. *Salmonella* Typhimurium, has, however, exhibited superior
560 persistence capabilities compared to *E. coli* O157:H7 in manure-amended soils (Islam et al.,
561 2004b; You et al., 2006; Franz et al., 2008; Fremaux et al., 2008; Pornsukarom & Thakur 2016).
562 There is a paucity of data on the persistence of pathogens in manure amended-soils in the tropics
563 (Ongeng et al. 2015). One interesting study provides an insight into the survival of *E. coli*
564 O157:H7 and *Salmonella* Typhimurium under tropical climatic conditions (Ongeng et al.,
565 2011). The study showed that survival periods were mostly shorter than the observed record in
566 temperate regions indicating that biophysical conditions in the tropics may be more injurious
567 to these pathogens. It is, therefore, not prudent to predict the survival of *E. coli* and *S.*
568 *Typhimurium* in tropical soils from data obtained in temperate locations.

569 The soil is the most important cultivation medium and represents a relevant risk for
570 produce contamination. A myriad of studies regarding the behavior of pathogens in various

571 kinds of soil ecosystems is available. However, validated consensus protocols for conducting
572 and interpreting experimental studies as well as for evaluating the effects of environmental and
573 soil characteristics on fate of pathogens in soils are not yet available. It is important to further
574 understand the effects of soil types, environmental factors, biological processes and
575 interactions, cultivation and management practices on the behavior of (indigenous and foreign)
576 enteric pathogens in agricultural soils.

577 **3.4. Animals and Insects**

578 Apart from farm animals, whose roles as reservoirs of enteric pathogens has been
579 established, wild animals such as birds, reptiles, rodents, amphibians, some helminths, and
580 insects like flies and beetles can also serve as vehicles of pathogens to contaminate cultivation
581 media and produce (Beuchat, 2006; Lim et al., 2014). Livestock and wild animals may gain
582 access to cultivation areas either because of adjacent land use (livestock rearing) or by intrusion
583 (Jay-Russel, 2013). Birds such as gulls, pigeons, chickens, starlings, Canada geese, migratory
584 ducks and sandhill cranes (Pacha et al., 1998; Hald et al., 2004; Ekdahl et al., 2005; Humphrey
585 et al., 2007) have been determined to be carriers of pathogens such as *E. coli*, Salmonella and
586 Campylobacter (Wallace et al., 1997; Schmidt et al., 2000; Wani et al., 2004). Insects are
587 typically ubiquitous in cultivation fields, and hence, have unrestricted access to produce. They
588 are usually found in manure piles, feedlots and other habitats near cultivation fields, and so
589 farms practicing mixed farming represent a more significant risk (Martínez-Vaz et al., 2014).
590 Many bacterial species have evolved to exploit insects as hosts or vectors. Filth flies, fruit flies,
591 cockroaches and other insects act as mechanical and biological vectors to contaminate fruits
592 and vegetables on the field (Sasaki et al., 2000; Mpuchane et al., 2004; Alam & Zurek, 2004;
593 Humphrey et al., 2007). Many pathogens use flies as vectors for cross-transmission. For
594 example, the transient survival of *Pectobacterium carotovorum* subsp. *carotovorum* in the gut

595 of the fruit fly *Drosophila* and subsequent transmission to other plants has been observed
596 (Nadarasah & Stavrinides, 2011; Lim et al., 2014).

597 Under laboratory environment, direct bacterial transfer from contaminated flies to fruits
598 or plant leaves was shown to occur (Sela et al., 2005; Talley et al., 2009; Lim et al., 2014).
599 Members of Muscidae and Calliphoridae which are usually abundant in production fields
600 adjacent to cattle rearing lots have been associated with the transmission of *E. coli* O157:H7
601 (Talley et al., 2009). **Insects that feed on plants** also play significant roles in produce
602 contamination by providing direct routes for internalizing pathogens from manure to plants in
603 the field (Talley et al., 2009). Insect deterioration creates openings that aid the ingress of
604 pathogens into inner plant tissues, thereby enhancing colonization of spoilage and pathogenic
605 bacteria on produce (Warriner & Namvar, 2010; Lim et al., 2014). A seasonal trend to
606 contamination by insects has been identified. There is increased insect and animal activity
607 during the warmer months of the year. Moreover, peak incidences of pathogens have been
608 reported during the warmer months (Liang et al., 2015).

609 Reptiles including snakes, lizards, chameleons, turtles, as well as other ophidians,
610 saurians and chelonians have been found harboring enteric bacteria like *Salmonella* (Corrente
611 et al., 2004; Beuchat, 2006). Many wild rodents are asymptomatic carriers of pathogens like
612 *Salmonella* and *Campylobacter*. The occurrence of rodents on farms are often associated with
613 infrastructural impairment, and although their destructive tendencies have been widely
614 recognized, their zoonotic risks are often primarily underestimated. They are capable of
615 amplifying the number of pathogens in the environment and transferring them to other farm
616 animals and produce (Meerburg & Kijlstra, 2007). Commensal rodents (house mice and rats)
617 pose a particular threat because of their ecology (they live close to livestock) and high fecundity
618 (Brooks & Jackson, 1973; Witmer et al., 2014).

619 ***4. Survival of pathogens on and within fresh produce***

620 Foodborne illness resulting from the consumption of contaminated produce is dependent
621 on specific factors. First, the produce must be contaminated with a pathogen, which must
622 survive until the time of consumption at levels sufficient to induce illness (Harris et al., 2003).
623 The dose required to cause illness in many cases, is very low, which indicates that the
624 microorganism needs only to contaminate the food to survive without necessarily reproducing.
625 For instance, pathogenic parasites and viruses are not capable of multiplying outside a human
626 or animal host and only need to survive in sufficient numbers to cause illness (Harris et al.,
627 2003). The survival and or growth of pathogens is influenced by the kind of organism, produce
628 type, on-field environmental conditions, as well as the physiological state of the plant and
629 pathogen. The possible routes of entry into plant tissues include: natural apertures (such as
630 stomata, lenticels, sites of lateral root emergence), wounds caused by biotic or abiotic
631 circumstances and following the flow of water from roots to leaves, where pathogens can
632 efficiently survive and multiply (Steele & Odumeru, 2004; Deering et al., 2012; Hirneisen et
633 al., 2012). The **popular opinion** is that pathogens will survive but not thrive on intact (uninjured)
634 outer surfaces of produce, primarily due to the protective effects of natural plant barriers (such
635 as cell walls and wax layers) (Mathews 2006; Heaton & Jones, 2008). Survival and proliferation
636 of enteric pathogens on produce is significantly enhanced if the protective barrier becomes
637 compromised either by physical or biological damage (such as punctures or bruising), insect
638 ruination or through degradation by plant pathogens. It is vital to understand the microbe-
639 microbe and plant-microbe interactions that occur in the phyllosphere and rhizosphere which
640 influence the adaptation, colonization, survival, growth, and persistence of foodborne
641 pathogens on produce.

642

643 ***4.1. Access to and establishment of pathogens in produce***

644 ***4.1.1. Attachment***

645 Attachment is pre-requisite for the colonization and subsequent transmission of enteric
646 pathogens throughout plants including the edible portions (Berger et al., 2010). It is important
647 to note that attachment onto the surface of intact produce is limited in contrast to the attachment
648 on other food commodities such as processed meat tissues (Erickson, 2010). However, the
649 attachment does indeed occur and is facilitated by stomata, lenticels, broken trichomes, as well
650 as bruises and cracks occurring on produce surfaces. The incidence of scars and cracks (which
651 may set in late in the growing season while the fleshy portion is enlarging rapidly) in certain
652 fruits also aids pathogen attachment (Bhagat et al., 2010). Cracks tend to occur in or on the
653 weak areas on plant surfaces such as around lenticels and trichomes, and hence, these areas are
654 more susceptible to invasion by pathogens. Cavities within the epidermis may also develop
655 from cuticular cracks as the fruit develops, thereby entrapping pathogens and shielding them
656 from desiccation and disinfection. The initial phase of bacterial attachment is a rapid process
657 initiated once the bacteria establishes contact with the plant surface (phyllosphere) (Sant'Ana
658 et al., 2014). The phyllosphere, also known as the aerial parts of plants pose challenges for
659 microbial survival. Exposure to high UV doses, temperature and relative humidity fluctuations
660 sabotage viability (Brandl et al., 2004; Heaton & Jones, 2008). Epiphytes that exist within the
661 phyllosphere have, however, evolved specialized mechanisms to improve stress tolerance and
662 nutrient acquisition. For instance, *Pseudomonas* spp. produce pigments to insulate against UV
663 and pectolytic enzymes to gain nutrients (Heaton & Jones, 2008). The ability of the pathogen
664 to persist on the phyllosphere improves the chances of a viable or infectious dose remaining
665 post cultivation (Heaton & Jones, 2008). The successful attachment on the phyllosphere also
666 depends on the crop and pathogen type. A classic illustration is Salmonella invasion of lettuce
667 and tomatoes. Salmonella contamination of lettuce and tomatoes via soil is usually quite low,
668 implying that Salmonella does not readily attach to or grow in the phyllosphere of these crops
669 (Critzler & Doyle, 2010). Also, attachment of Salmonella and *E.coli* O157:H7 is observed more

670 frequently with Brassicaceae compared to lettuce, carrots, and tomatoes, which has generated
671 the theory of selective attachment, suggesting that certain produce types are more prone to
672 contamination than others (Warriner & Namvar, 2010). Specific pathogens such as Salmonella
673 have surface epitopes that can bind to plant structures such as stomata to aid attachment
674 (Warriner & Namvar, 2010). Some also have higher capabilities to metabolize nutrients
675 contained within the apoplastic fluid of plants (Warriner & Namvar, 2010). These traits
676 significantly enhance their attachment abilities. Finally, hydrophobic interactions between a
677 plants' epidermal layer and microbial cells are believed to play a major role in facilitating this
678 initial phase of attachment (Burnett & Beuchat, 2001).

679 Surface colonization is the final phase of attachment during which biofilms may be
680 formed. Biofilms are microbial colonies, which form when single microorganisms attach and
681 aggregate on a hydrated surface and undergo a "lifestyle switch," giving up life as a single cell
682 to live on a surface in an adhesive cell matrix with other microorganisms (Lemon et al., 2007).
683 Cells in a biofilm have a better chance of adaptation and survival (especially during periods of
684 stress) as they are protected within the matrix (Decho, 2000) and are usually resistant to
685 antimicrobial agents (Lemon et al., 2007). Naturally occurring biofilms are present in many
686 fruits and vegetables, but the ability of foodborne pathogens to associate with them and persist
687 is not yet fully understood (Brackett, 1999; Ferreira et al., 2014; Larsen et al., 2014). Pathogen
688 serovars that are strong biofilm producers have been shown to attach better to both intact and
689 injured produce surface compared to strains that are weak biofilm producers (Lindow & Brandl,
690 2003; Kroupitski et al., 2009). The occurrence of biofilms improves the chances of transient
691 occupants of leaf surfaces such as enteropathogens of becoming effectively incorporated into
692 phyllosphere biofilms (Heaton & Jones, 2008). Bacterial appendages such as curli, pili,
693 fimbriae, and flagella, as well as proteins in outer membranes and genes, may also facilitate the
694 surface colonization by pathogens. Increases in the expression of *fliC*, flagellin-encoding gene

695 have been observed in certain produce contamination studies. After attachment, it becomes very
696 difficult to remove the pathogens from produce by surface washing (Beuchat & Scoutten,
697 2002). Overall, enteric soil pathogens may reach the edible portions of fruits and vegetables via
698 numerous mechanisms and routes and these have been elucidated by several studies (Natvig et
699 al. 2002; Johannessen et al. 2005; Barak and Liang, 2008; Tyler and Triplett, 2008). Some of
700 these routes include germination of seeds in contaminated soils, which leads to bacterial
701 colonization of roots and edible parts, direct transfer of pathogens within the soil to crops when
702 heavy rain or water gun irrigation causes leaf splash, bacterial infiltration through roots,
703 amongst others.

704

705 ***4.1.2. Internalization***

706 Attached pathogens can reach the interior of fruits and vegetables via a variety of
707 pathways. The extent of internalization depends on factors such as the route and mechanism of
708 entry, the type and age of the plant, the aerial and/ or root morphology and exudates, the soil
709 type and biology and the strain and/serovar of bacteria (Hirneisen, 2012; Brandl, 2013; Lim et
710 al., 2014). The mechanism could be either passive or active (Sant'Ana et al., 2014). Passive
711 internalization involves the uptake of bacteria mainly through roots and seeds. Mechanistically
712 though, enteric pathogens may be internalized via the root system and transported to edible
713 tissues, but the risk of contamination by this route is likely low (Matthews et al. 2014). This is
714 because in the environment, particularly areas that are not prone to contamination events, the
715 levels of enteric pathogens are likely to be extremely low (Cooley et al. 2007; Matthews et al.
716 2014). In contaminated zones, however, human pathogens may indeed invade root tissues and
717 subsequently translocate to edible portions (Solomon et al., 2002; Solomon & Matthews, 2005).
718 Depending on the age of the plant, pathogens may invade external root surfaces (main and side
719 roots, as well as root hairs) and subsequently internalize. The developmental stage of plant root

720 systems when contamination occurs influences the capability of pathogens to interact with,
721 penetrate plant roots and migrate to other tissues (Mootian et al., 2009). The physiological
722 characteristics of the roots may also determine the success of internalization; for example, some
723 root vegetables possess antimicrobial properties, which limits the growth and internalization of
724 enteric bacteria (Hirneisen et al., 2012). Pathogens like *E. coli* O157:H7 have been
725 demonstrated to survive longer in the soil in the presence of rye and alfalfa roots (Gagliardi &
726 Karns 2002).

727 Other work has demonstrated that pathogens enter root tissues at sites of lateral root
728 emergence or through damaged roots (Mendes et al., 2013). Salmonella and *E.coli* O157:H7
729 have penetrated Arabidopsis and lettuce plants' roots, while *Klebsiella pneumoniae* have been
730 detected on numerous plants' roots (Tyler & Triplett, 2008). Other examples include the
731 invasion as well as (endophytic and systemic) colonization of barley roots by *S. Typhimurium*,
732 the shoots of black pepper stem cuttings by *Pseudomonas aeruginosa*, as well as roots and
733 shoots of tomato seedlings by *P. aeruginosa* (Kutter et al., 2006; Kumar et al., 2013). It is,
734 however, important to note that successful invasion of the root and shoot system may not
735 guarantee translocation to the edible or foliar portions of produce. In some surveys, bacterial
736 pathogens were detected in roots but not leaves of crops examined (Watchel et al., 2002;
737 Warriner et al., 2003; Bernstein et al., 2007a; Mitra et al., 2009; Sharma et al., 2009).

738 A growing body of evidence suggests that seeds may serve as primary inoculum source
739 in produce contamination. In the case of vegetables, seed sprouts have been implicated as the
740 initial inoculum source, severally (Warriner et al., 2003; Deering et al., 2012; Kumar et al.,
741 2013). In recent time, seeds have been recognized as a significant source of inoculum for
742 foodborne illnesses associated with sprout consumption (Mahon et al., 1997; National Advisory
743 Committee on Microbiological Criteria for Foods, 1999; Buck et al., 2003; Yang et al., 2013).
744 It is possible that enteric bacteria may be transmitted from contaminated seeds to sprout to

745 mature plants, throughout entire plant life cycle up to consumption. The contamination may be
746 transferred from seed again, thus persisting in produce cultivation cycles, for a long time. There
747 is a record of *E. coli* 0157:H7 adherence to outer surfaces and subsequent successful
748 internalization of radish sprouts produced from contaminated seed during sprout growth (Itoh
749 et al., 1998).

750 Rate and efficiency of uptake also depends on the type of produce, and the level of
751 internalization varies widely among plants and even among different species of the same crop
752 due to variations in intrinsic factors, which affect pathogen survival and proliferation (Golberg
753 et al., 2011; Erickson, 2012). For instance, certain produce items, like fully ripe tomatoes are
754 typically in the pH range (3.9 – 4.5) which conventionally impedes growth of most enteric
755 bacteria, whereas, the pH of numerous vegetables, melons, and soft fruits is usually 4.6 or
756 higher, which is conducive for bacterial growth (Beuchat, 2002; Gagliardi et al., 2003).
757 Therefore, Gram-negative bacteria are more commonly associated with vegetables while molds
758 and certain yeasts mostly occur on fruits, due to the differences in pH requirements of the
759 respective groups of microbes (Jay, 2012). Members of the Brassicaceae family (radish, turnip
760 and broccoli) were demonstrated to have a higher prevalence of *Salmonella* contamination than
761 lettuce, tomatoes and carrots when grown in contaminated soil (Barak et al., 2008). Among
762 leafy greens, radicchio and endive may be more likely to be contaminated than lettuce, spinach,
763 parsley or cilantro (Barak et al., 2008). *Salmonella* Typhimurium has been demonstrated to
764 internalize more efficiently in iceberg lettuce and arugula leaves compared to romaine, red
765 lettuce, fresh basil, parsley and tomato leaves, which displayed only marginal internalization.
766 *Listeria monocytogenes* seems to exhibit a selective preference for certain vegetables like
767 radishes and potatoes, as certain studies reported that although *L. monocytogenes* successfully
768 invaded tissues of a wide variety of vegetables, radishes and potatoes appeared to be more often
769 and severely contaminated (Beuchat, 1996). It is also apparent that *L. monocytogenes* does not

770 survive and internalize satisfactorily on fresh carrot, in fact, low doses of raw carrot juice have
771 been demonstrated to inhibit the growth of the pathogen (Beuchat et al., 1990; Farber &
772 Peterkin, 1991; Oh, 1993; Benkerroum, 2013).

773 Internalization is believed to be a plant-pathogen specific interaction, and therefore,
774 internalization success varies from pathogen to pathogen (Erickson, 2012). A comparison of
775 the internalization of *L. monocytogenes* to *S. Typhimurium* on inoculated seeds of cress, radish,
776 spinach, lettuce, mustard, carrots, and tomatoes showed significant variations in the rate and
777 efficiency of internalization by the pathogens. Under identical experimental conditions, *S.*
778 *Typhimurium* internalized into the roots of the vegetables, whereas, *L. monocytogenes* did not
779 (Jablasone et al., 2005). Similarly, while *S. Typhimurium* was found to be associated with the
780 internal portions of barley sprouts, *L. monocytogenes*, *L. ivanovii* and *L. innocua* were not
781 (Kutter et al., 2006). Furthermore, the degree of internalization is contingent on the
782 serovar/strain (Larsen et al., 2014). Gene expression, metabolic and antimicrobial capacities
783 vary among strains. Certain strains manifest up-regulation of peculiar genes like the *pdu*, *cob-*
784 *cbi*, and *out* which improve carbon source utilization and may confer a competitive edge,
785 thereby enhancing the survival and persistence of these strains (Fox et al., 2011). Some *E. coli*
786 0157 strains possess metabolic capacities, which foster their survival in certain agroecosystems
787 such as soils (Franz et al., 2011). In a bid to explain the strain-specific internalization dynamics,
788 a five serovar Salmonella cocktail (Montevideo, Michigan, Poona, Hartford and Enteritidis)
789 was inoculated into hydroponic growth substrates. Serotypes Montevideo and Michigan were
790 most prevalent, while Enteritidis, Hartford and Poona were not detected in any of the tomato
791 tissue samples (Guo et al., 2001). This is a quintessential illustration of internalization variation
792 among serovars. Likewise, Salmonella serovars; Cubana, Infantis and Typhimurium exhibited
793 varying capabilities to internalize and colonize alfalfa sprouts when seeds were inoculated
794 under identical environmental conditions (Dong et al., 2003).

795 Some scholars have endeavored to compare the survival of two arguably most prominent
796 foodborne pathogens: *E. coli* and Salmonella. Serovars of both can proficiently adapt to
797 environmental stress; -numerous strains are known to become habituated to low pH conditions
798 and subsequently manifest remarkable tolerance to stress conditions. *Escherichia coli* can
799 perpetually evolve new varieties that have neither been previously reported nor characterized
800 and which are capable of exploring and inhabiting previously unrecognized niches (Newell et
801 al., 2010). Both seem to be capable of long-term survival in the agricultural environment and
802 on produce, but it is quite apparent that Salmonella survives better than *E. coli* (Brandl, 2006;
803 Mandrell, 2009; Newell et al., 2010; Schikora et al., 2012; Ongeng et al., 2015). Many
804 Salmonella serovars bind to plants significantly better than *E. coli* strains. *Escherichia coli*'s
805 inability to lower its metabolic rate to suit the low availability of accessible organic carbon and
806 to competently cope with low nutrient conditions contributes significantly to its die-out in soils
807 and on produce, and therefore, lowers its competitiveness (survival) compared to Salmonella
808 (Beuchat, 2002; Franz et al., 2008; Franz & van Bruggen, 2008; Franz et al., 2011).

809 Internalization has been correlated with motility and chemotaxis. Flagella mutants
810 (*fliGHI:Tn10*, *cheY*) deficient in motility and chemotaxis respectively have exhibited reduced
811 attachment and penetration of lettuce leaves (Kroupitski et al., 2009; Lim et al., 2014). It has
812 also been hypothesized that products of photosynthesis serve as nutrients to aid internalization
813 of pathogens (Lim et al., 2014).

814 Active internalization typically involves the penetration of bacteria through natural
815 openings. The ability of foodborne pathogens to internalize in produce represents a significant
816 public health risk because internalized pathogens are protected against optimized disinfection
817 modes (Meireles et al., 2016) except irradiation which seems capable of reasonably eradicating
818 internalized pathogens in produce. The technique penetrates produce tissues to eliminate
819 internalized pathogens, and Gram-negative bacteria are very susceptible to even low doses

820 (Saroj et al., 2007; O'Bryan et al., 2008). However, treatment with irradiation may produce off
821 flavors, colors and odors and may inactivate some of the nutrients (Fan & Sokorai, 2008). It is,
822 therefore, not accepted and endorsed for produce treatment. There are other relatively new
823 technologies such as modified atmosphere packaging, ozone, ultrasound and ultraviolet
824 treatments, which seem promising in ensuring the microbiological safety of fresh fruits and
825 vegetable products (Shayanfar & Pillai, 2014). However, limited commercial applications have
826 been described for most of these new technologies. Electron beam technology is another up-
827 and-coming treatment option, which according to experts, can play a pivotal role in mitigating
828 some of the contemporary microbiological risks facing the produce industry (Shayanfar &
829 Pillai, 2014; Lung et al., 2015). It is an environment friendly, cost and time effective
830 decontamination strategy that uses low-dose ionizing radiation to treat crops (-as well as other
831 food items), to eliminate microbial contamination. It is capable of inhibiting the germination of
832 crops and controls the rate of ripening of fruits and vegetables, thereby extending their shelf
833 life (Lung et al., 2015). It inhibits a variety of enteric pathogens without compromising food
834 sensory and nutritional qualities and can be used in combination with other traditional or non-
835 traditional food processing technologies (Lung et al., 2015). Regulatory authorities such as the
836 US Food and Drug Administration have approved it, but the full import of the safety of use is
837 not yet conclusive.

838 Given the amount of evidence indicating that enteric pathogens (that are not plant
839 pathogens) can invade and be internalized into plants, it is important to understand how such
840 microbes establish access to plant tissues, as this may facilitate the development of strategies
841 to reduce internalization. For successful colonization, major interactions take place between
842 pathogens and their plant hosts that determine the success of the pathogenic attack (Warriner
843 & Namvar, 2010). Many enteric pathogens have devised mechanisms to overcome plants' basal
844 defense mechanisms and innate immune responses (Lim et al., 2014). Plants first line of

845 response to foreign invasion is by the innate immune system. This consists of two main
846 branches: PAMP-triggered immunity (PTI) and effector-triggered immunity (ETI). In the first
847 stage, microorganism associated molecular patterns (PAMPs or MAMPs such as flagellin,
848 peptidoglycan, lipopolysaccharide) are identified by plant host receptors popularly known as
849 Pattern Recognition Receptors (PRRs) (Deering et al., 2012). These batteries of receptors
850 deployed by the host are designed to curb the growth and spread of the pathogen (Ausubel,
851 2005). PTI response is broad-spectrum; sensitive to molecules familiar to many classes of
852 microorganisms including non-pathogens. Upon recognition, plant defense signal pathways are
853 activated among which, jasmonate, salicylic acid and ethylene play essential roles.

854 Virulent plant pathogens may through diverse strategies, such as the production and
855 secretion of effectors, efficiently override PTI, for example, there are some 'effectors' that can
856 overcome PTI by interfering with MAMP detection and subsequent defense signaling (Kazan
857 & Lyons, 2014). This results in effector-triggered susceptibility (ETS). For susceptible
858 interactions, effectors produced and released by the microorganism are transferred into the plant
859 cell through the TTSS (Type III Secretion System). Specific nucleotide-binding leucine-rich-
860 repeat (NB-LRR) proteins encoded by resistance genes, resulting in ETI and limitation of
861 pathogen transmission to other tissues, recognize these effectors. While PTI is considered the
862 first line of defense against pathogenic infection, ETI is an accelerated and amplified response,
863 the outcome of which is often a hyper-sensitive response (HR) (Spoel & Dong, 2012).

864 The ability of pathogenic bacteria to colonize a plant may also be influenced by their
865 interactions with other microorganisms either positively or negatively (Deering et al., 2012). If
866 other microorganisms supply carbon sources (via degradation of cell wall polymers or induced
867 secretion of sugars), or sequester antimicrobials, this can enhance pathogen colonization (Bais
868 et al., 2006; Warriner et al., 2009; Augimeri et al., 2015). Alternatively, plant pathogens that
869 wound or destroy living tissue may create a microenvironment that is suitable for the survival

870 and/replication of human pathogens (Rashid et al., 2016). Pathogens are often associated more
871 with plants whose tissues have been damaged by soft-rot pathogens compared to those with
872 healthy tissues (Brandl, 2008). Before pathogenic bacteria can colonize the surface or interior
873 of a plant host, they have to contend with the naturally occurring microflora that is already
874 established (Deering et al., 2012). The ability of the indigenous bacterial community to inhibit
875 the growth of introduced enteric pathogens has been demonstrated by numerous studies (Liao
876 & Fett, 2001; Matos & Garland, 2005; Schuenzel & Harrison, 2002; Cooley et al., 2003;
877 Johnston et al., 2009).

878 There is direct evidence that the stomata play essential roles in internalization, host
879 immunity and pathogen virulence of pathogens (Kroupitski et al., 2009; Zeng et al., 2010).
880 Some researchers have reported that plant stomata close in response to plant pathogens and
881 some human pathogens (Melotto et al., 2008; Roy et al., 2013). *Escherichia coli* O157:H7 has
882 been reported to trigger stomatal closure even under high relative humidity, a stressful
883 environmental condition that generally weakens plant defenses against bacteria in field and
884 laboratory conditions (Roy et al., 2013).

885 Stomata closure could be triggered by certain peptides such as flg22 produced by
886 bacterial flagellin and lipopolysaccharides which are recognized by PAMPs or MAMPs in a
887 salicylic acid-dependent manner. In the case of some plant pathogens such as *Xanthomonas*
888 spp. and *Pseudomonas syringae*, virulence factors produced are capable of overcoming this
889 innate immunity and counter stomata defense. For example, *Pst* DC3000 and several other
890 pathovars of *Pseudomonas syringae*, produce coronatine (COR), a phytotoxin which can
891 reverse stomatal closure induced by bacteria or MAMPs (Zeng et al., 2010). Stomatal immunity
892 can diminish the penetration of human pathogens through the leaf epidermis, resulting in low
893 bacterial titers in the plant apoplast (Roy et al., 2013). However, plant defense responses
894 induced by pathogens vary and plants may recognize and respond to some human pathogens

895 more effectively than others (Roy et al., 2013). For example, comparison of plant defense
896 responses induced by *E. coli* O157:H7 and *S. Typhimurium* SL1344 in *Arabidopsis thaliana*
897 and lettuce (*Lactuca sativa*) revealed some variations. While *E. coli* O157:H7 triggered
898 stomatal closure, SL1344 only induced a transient stomatal immunity. Also, PR1 gene
899 expression was significantly higher in *Arabidopsis* leaves infected with *E. coli* O157:H7
900 compared with SL1344 (Roy et al., 2013).

901 Although, numerous studies have examined the intricacies of internalization in fresh
902 produce, many of these are laboratory based. The few available field studies, which have mostly
903 studied *E. coli*, indicate that internalization of pathogens may be not be very common in field
904 settings (Zhang et al., 2009; Erickson et al., 2010b; Erickson et al., 2013; Erickson et al.,
905 2014b). More field studies are therefore, required to properly understand the
906 potential/likelihood of enteric pathogens to internalize in fresh produce as well as the actual
907 factors that influence the success of internalization.

908 **5. *Precautions to reduce bacterial contamination of produce in the field.***

909 To successfully achieve an acceptable level of microbiological safety for fresh produce,
910 it is essential to control environmental contamination in the field by taking appropriate pre-
911 harvest precautions. One fundamental factor to consider is the state or quality of the growing
912 fields. Fields on which wild or domestic animals have been recently grazed that have been
913 subjected to flooding or may have been previously contaminated with manure constitute an
914 unacceptable microbiological risk (Turbé et al., 2010). Therefore, growers need to scrupulously
915 investigate land history when selecting a location for produce cultivation (Islam et al., 2004a,
916 b). Cultivation areas should be safeguarded from flooding, and fecal contamination and manure
917 should be adequately treated (using popular methods like composting and aging) before
918 application as fertilizer. Also, suitable buffer zones (physical barriers) such as mounds,
919 diversion berms, vegetative buffers as well as ditches should be erected between animal grazing

920 regions and produce cultivation areas (James, 2006; Olaimat & Holley, 2012). Appropriate
921 livestock waste disposal and farm general waste management should be adopted to ensure
922 safety.

923 Numerous experts have highlighted the need for monitoring, regulation and control of the
924 microbiological quality of irrigation water. Several regional and international standards exist
925 for irrigation water use and practices to prevent incidence of bacterial contamination. The use
926 of potable water for irrigation (and other cultivation operations) is highly recommended.
927 Certainly, this is not economical in many instances and may increase production costs, which
928 will raise prices; it is however, pertinent to public health safety. In developing countries, a
929 myriad of safety regulations exists such as cessation of irrigation prior to harvesting, lowering
930 of watering cans to reduce splashes from (contaminated) soil, adoption of furrow irrigation
931 system over the use of sprayers which expose edible portions of leafy vegetables directly to
932 irrigation water, and so on (Keraita et al., 2010; Amoah et al., 2011; Uyttendaele, 2015). In
933 cases where surface water is the irrigation water source, drainage of contaminated water into
934 the surface water reservoir may be prevented by constructing ditches, buffer strips, as well as
935 retention and drainage systems. Potential overflow points should be identified and eliminated.
936 It is also important to determine (potential) points of contamination because control measures
937 are bound to be more effective if focused on eliminating contamination at the source
938 (Madramootoo et al., 1997; Pachepsky et al., 2011). Irrigation wells, functional septic, water
939 and sewage systems should be installed and properly maintained especially during periods of
940 excessive rainfall to prevent pathogen contamination (Buck et al., 2003; Olaimat & Holley,
941 2013). Surface and groundwater resources should be protected from any potential sources of
942 contamination including wildlife, animal waste, agricultural run-off, human activity, sewage,
943 or industrial effluents. Other management practices like; removal of riparian areas, erection of
944 fences, and treatment of irrigation water (for example, using UV treatment) can be considered

945 to enhance safety assurance of irrigation water. These precautions will minimize contamination
946 risks on produce farms and should be applicable not just to supposed high-risk crops (such as
947 leafy greens) but all produce (squash, and others) (Strawn et al., 2013 b). Implementing some
948 of these may, however, be costly and have negative impacts on landscape health. Irrigation
949 water sources should be routinely monitored to ensure microbiological safety (Brackett, 1999;
950 Islam et al., 2004b). Ideally, there should be more regular reporting on the microbiological
951 quality of irrigation waters in different world regions. Such surveys should reflect the true levels
952 of actual pathogens rather than indicators, and bias should be avoided towards contaminated
953 samples by intensively monitoring every irrigation source possible, and not just sites where
954 extensive contamination has been known to occur (Stoeckel, 2009).

955 As part of a total package of hygiene measures to prevent the transfer of foodborne
956 pathogens, wild animals, birds, flies and rodents should be controlled in cultivation areas.
957 Interventions to mitigate wildlife intrusion of a farm may be costly and not entirely effective,
958 especially if not done properly, thereby allowing certain animals direct access to crops. In many
959 cases, it is not economical to fence large farms, but small farms can be fenced to restrict wild
960 animals (Jung et al., 2014). Other mechanical/biological control methods include the use of
961 scarecrows, reflective strips, monitoring of animal tracks and field intrusion as well as gunshots
962 to ward off pests and animals. Mechanical traps and baits can be used to control mice and
963 rodents. Overall, practical, cost-effective methods should be adopted to mitigate wild sources
964 and routes of produce contamination.

965 Considering that, in many important outbreaks, vegetable seed sprouts have been
966 implicated as the initial inoculum source, the elimination of bacteria from seeds before planting
967 has become crucial (Buck et al., 2003). Chemical or physical treatment methods are usually
968 used to decontaminate seeds, in a bid to reduce the risks of sprout borne disease outbreaks.
969 However, this poses some challenges for growers, as the chosen decontamination method has

970 to fulfill certain conditions. One important consideration is the preservation of seed viability.
971 Selected treatment dosage should be able to inactivate pathogens without adversely affecting
972 seed viability (Buck et al., 2003). Also, the treatment must be able to penetrate and access
973 bacteria that may be residing in protected seed tissues, and finally, certain treatments may be
974 inactivated by seeds, rendering them less effective (Buck et al., 2003). Nevertheless, the
975 efficacy of chemical seed treatments for sprout seed including chlorine compounds (commonly
976 calcium and sodium hypochlorite), ethanol, hydrogen peroxide, calcium EDTA, 4-
977 hydroxybenzoic acid, ozonated water and other commercial disinfectants have been extensively
978 documented. It is also possible to use gaseous chemicals and thermotherapy (e.g., hot water
979 treatment), although excessively high temperatures may affect sprout vigor. Another potential
980 issue with hot water treatment is that when treating large batches of seed, it is practically
981 impossible to achieve temperature uniformity throughout the water bath. Therefore, while a
982 portion of the seeds receives the appropriate temperature-time exposure, some will still contain
983 viable bacteria after 'treatment.' Also, there is a potent risk of cross-contamination with this
984 technique. Other viable options include seed treatment with bacteriophage, combinations of
985 thermotherapy with chlorine and the use of ionizing radiation. Radiation is particularly
986 appealing because it can penetrate seed tissues and possibly eliminate bacteria localized within
987 protected tissues (Buck et al. 2003). However, it has been postulated that high levels of
988 irradiation may distort the physiology and organoleptic properties of seedlings, more research
989 is therefore, needed to evaluate the prospects and risks of this approach. Other precautionary
990 measures include testing seed lots for purity and germination rate prior to marketing, proper
991 warehouse storage (in metal bins) until bagged, as well as ensuring general facility sanitation
992 and employee hygiene (National Advisory Committee on Microbiological Criteria for Foods,
993 1999).

994 Safety criteria and regulations are mostly region specific, it is however, critical to enforce
995 these regulations, ensure that growers adhere to such and there is a need to constantly improve
996 standards; if new information becomes available, regulations should immediately be updated
997 (Köpke et al., 2007). Most of the available data is from the developed world mainly from the
998 US and certain parts of Europe. It is necessary to develop surveillance and tracking systems and
999 generate robust databases for other regions as well. More studies should be conducted under
1000 field conditions, rather than laboratory or greenhouse simulations, as this will provide a better
1001 understanding of how enteric pathogens behave in agricultural production environments.

1002 Finally, and more importantly, it is necessary to ensure producers are mindful of their
1003 roles in assuring food safety. Growers should be encouraged to adopt the best possible
1004 agricultural practices to ensure produce safety. It is also important to enlighten consumers about
1005 possible risks and appropriate mitigation strategies. There are wrong notions and
1006 misconceptions, which have to be corrected promptly, for example, many people believe it is
1007 not necessary to wash organically grown fruits and vegetables (Leifert et al. 2008).

1008

1009 **6. Research recommendations**

1010 **6.1. Epidemiology**

1011 It is evident that epidemiologic investigations are worthwhile as public health directives
1012 and policies based on investigation output have averted impending foodborne disease crises in
1013 many cases. The relevance of epidemiological surveys globally and regionally, therefore,
1014 cannot be overemphasized. This means that epidemiological investigation tools and systems
1015 need to be objective, updated, precise, flexible and timely. While significant progress has been
1016 achieved in the area of epidemiology, there are still certain cracks that need to be addressed.
1017 The use of routine, optimized clinical pathogen identification techniques may mean that new
1018 pathogens may likely be missed. This is a potentially grave issue, because periodically, since

1019 the development of foodborne disease surveillance, the list of foodborne pathogens has
1020 continued to expand. Care should, therefore, be taken to avoid research bias since it is likely
1021 that produce items that have been previously associated with foodborne illness outbreaks and
1022 product recalls may receive particular scrutiny. New pathogens emerge due in part, to evolving
1023 ecology and technology while already recognized strains continue to evolve, potentially
1024 becoming smarter, evading and subverting detection, sanitization and plant host defenses. It is
1025 important to further understand the evolution dynamics and emergence of new pathogens, as
1026 well as develop and optimize methods to meet the emerging challenges.

1027 **6.2. *Understudied pathogens***

1028 Awareness and surveillance of viral and parasitic enteric pathogens need to be more
1029 robustly developed. Although Noroviruses, Hepatitis A, Rotaviruses as well as certain
1030 emerging viruses such as SARS are well known, they are rarely routinely screened for in fresh
1031 produce in most countries. Also, their ecology in fresh produce is poorly understood, for
1032 instance, the knowledge of the stability and persistence of human Norovirus in foods has been
1033 garnered mostly from the study of surrogate viruses. More importantly, their significance in
1034 foodborne disease incidence remains undetermined. Parasitic pathogens like Ascaris, Giardia,
1035 Entamoeba, Cyclospora, Cryptosporidia and Trichinella are recognized (Newell et al., 2010;
1036 Robertson et al., 2014), but not all are routinely monitored in produce.

1037

1038 **6.3. *A need for protocol consensus***

1039 The roles that livestock and wildlife play in pathogenic contamination of fruits and
1040 vegetables as well as their epidemiology through the food chain is poorly understood. It is
1041 difficult to compare the available studies because some have used naturally contaminated
1042 animals, while others used experimentally inoculated animals. The exact transport/transfer
1043 mechanisms of pathogens from animal fecal material or contaminated manure/soil to fruits and

1044 vegetables via splash are not yet properly understood. For example, it will be helpful to
1045 understand the specific spatial factors that influence the transfer of pathogens from fecal pellets
1046 to fruits and vegetables. The survival times for pathogens in fecal contaminants, manure, and
1047 manure-amended soils are inconsistent, reflecting the varying conditions under which many of
1048 the available studies have been conducted (These variations are demonstrated in Tables 3, 4 &
1049 5). The fate of pathogens on the soil surface, the relationship between manure-derived
1050 pathogens and soil particles, as well as the states in which pathogens occur in soil slurry or
1051 manure mixtures, should be further explored. **The exact mechanisms of uptake or (transmission)**
1052 **of pathogens from contaminated manure or manure amended soils to plants, particularly in field**
1053 **settings should be studied.** This will facilitate the design of scientifically sound produce safety
1054 standards. The majority of studies available on pathogen transport in soils have been conducted
1055 using homogenized natural soils in laboratory designed soil columns. These may not be a true
1056 representation of field conditions and diversifying the experimental conditions will aid the
1057 development of efficient, grower-level interventions that will effectively reduce the likelihood
1058 of on-field contamination of produce.

1059 There are dissenting opinions among experts on a variety of issues pertinent to produce
1060 safety. With regards to the factors, mechanisms as well as principles that aid competent
1061 internalization and persistence of pathogens on produce, there are many variations. The
1062 available studies are difficult to compare largely because they have been conducted under
1063 varying physicochemical circumstances, types of microcosms, experimental conditions and
1064 used distinct strains (Shown in Tables 3, 4 & 5). Most studies were conducted under disparate
1065 environmental conditions, and accurate weather data necessary to interpret results from the
1066 varying sources is lacking. Study results for one crop variety may indeed not hold true for other
1067 varieties, for instance, data for apples may not necessarily apply to all pome fruit and data for
1068 romaine lettuce may not apply to all leafy greens. When possible, varieties exhibiting greater

1069 potential for pathogen survival should be selected for experimental investigations. Another
1070 relevant consideration for crop selection is preference for varieties that are indigenous to the
1071 region in question. Some other seemingly trivial controversial issues include whether outer
1072 leaves are significantly more likely than inner leaves to become contaminated via splash and
1073 whether or not the potential for survival on the abaxial side of leaves is higher than on the
1074 adaxial side. The implications of dormant, non-dividing ‘persister’ cells occurring in certain
1075 plant pathogens on the ability to withstand environmental stresses and extensive survival as
1076 well as the issues surrounding linked resistance is still an important research debate. Also, even
1077 though atmospheric deposition seems to be an uncommon route of pathogenic contamination
1078 for produce, it has been documented as a potentially important route (Beuchat & Ryu, 1997;
1079 Harris et al., 2003; Mei Soon et al., 2012). It will be worthwhile exploring how relevant this is
1080 for produce safety.

1081 While many of the available studies have made stringent efforts to simulate produce
1082 cultivation circumstances, it is extremely challenging to create precise/accurate environmental
1083 conditions in a laboratory setting. Most studies are conducted under controlled laboratory
1084 conditions. Factors like the biological activity of the soil, manure, water and crops, soil and
1085 water chemistries as well as meteorological elements such as wind, UV intensity, temperature,
1086 rainfall are simply impossible to replicate under laboratory conditions. Laboratory scale model
1087 systems may provide important details about the roles of environmental variables on pathogen
1088 growth and survival in agricultural environments, but the slightest tweaks in experimental
1089 protocols can affect pathogen survival in agroecosystems. Unfortunately, actual field-based
1090 studies are subject to disruption from unforeseen environmental events such as weather
1091 extremes and damage triggered by biological agents including insects or onset of plant diseases.

1092 More field studies (where typical agricultural practices and conditions are closely
1093 simulated) are therefore, highly desirable to further understand the persistence phenomenon.

1094 Safety and ethical issues however restrict the use of pathogens in the greenhouse and field-
1095 based research. Strategies to improve existing biocontainment and decontamination processes
1096 should be developed and optimized as soon as possible. Another possible solution is to develop
1097 and optimize strategies that will cater for the experimental variations in model system
1098 development. An assessment and identification of environmental variables that influence the
1099 fate of test organisms should be included in experimental designs. Despite meticulous planning
1100 however, a field trial may fail to yield serviceable results due to factors that are out of the
1101 researcher's control. Consequently, more replicate trials may need to be conducted.
1102 Furthermore, agronomic and farm management practices are not uniform in all regions, and
1103 production practices significantly differ from region to region depending on seasons and
1104 weather patterns within the same region. These often depend on operation scale, type of farming
1105 practices et cetera. The risks associated with conventional cropping systems are bound to differ
1106 from those of systems that combine intensive livestock farming with arable farming. In addition
1107 to general studies, a case-by-case approach should be considered where possible (if financial
1108 and technical resources, as well as other circumstances, permit) because farming operations
1109 vary widely from farm to farm and this influences the potential for pathogen occurrence,
1110 survival, proliferation and dissemination.

1111

1112 **7. *Conclusions***

1113 The potential of fresh produce to harbor pathogens is now well recognized, and fresh
1114 produce has been established as a vehicle of foodborne disease. The diverse and complex
1115 sources and routes of enteric pathogens to fruits and vegetables have been widely researched.
1116 The interplay of land use, water management, weather patterns and specific pathogen properties
1117 and sources have been illustrated to have significant consequences for the microbiological
1118 safety of fresh fruits and vegetables. Attempts have been made to understand the general

1119 microbial profile of fresh produce, the behavior, fate and transport of pathogens, as well as their
1120 location in and on plant parts. The facts gleaned from these studies have been the subject of
1121 many extensive reviews. There is abundant information about the factors that affect the
1122 contamination and persistence of pathogens on fresh produce. In light of the available evidence,
1123 significant effort must be made to efficiently monitor and illustrate recent trends in the
1124 occurrence of foodborne diseases associated with the consumption of fruits and vegetables.
1125 Partnerships and collaboration among all relevant stakeholders; commercial growers, public
1126 health practitioners, veterinary and food safety experts and field biologists is necessary in order
1127 to ensure the safety of fruits and vegetables delivered to consumers.

1128 On a final note, the need to control all potential pathogen entry pathways has been
1129 established and is being continuously stretched by regulators and other specialists. There are
1130 numerous other factors along the food production chain that may predispose produce to
1131 microbial contamination. However, it is of utmost importance to avoid and control microbial
1132 contamination of produce at the pre-harvest stage. This is because contaminated manure, water
1133 and soil have been shown to indeed contaminate produce, and decontamination of produce,
1134 polluted arable soil and groundwater has proven to be a very challenging and expensive
1135 endeavour.

1136

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1144

1145 ***Conflicts of interest***

1146 The authors declare no conflicts of interest.

1147

1148 ***8) References***

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2241

2242 ***Figure captions***

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2244 ***Figure 1:*** Environmental risk factors for pre-harvest produce contamination.

2245 ***Figure 2:*** The fate of pathogens in manure amended soil.

2246 ***Figure 3:*** Factors affecting the survival of pathogens in produce cultivation media..

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